



**APPLICATION**

Name: \_\_\_\_\_ SIC Code: \_\_\_\_\_ Agent: \_\_\_\_\_  
 (If more than one Insured or if including employee benefit plans, please attach a list) Agent Code: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Effective Date: \_\_\_\_\_  
 Predominant Business Activity: \_\_\_\_\_ Billing: \_\_\_\_\_  
 Year Business Started: \_\_\_\_\_ Annual Sales or Revenue: \_\_\_\_\_  Installment  Prepaid  
 Are you a publicly-traded company?  Yes  No If yes, ticker symbol \_\_\_\_\_

**DESIRED COVERAGE**

If all six coverages identified below are desired at the same limit:

- or, if varying limits or coverages are desired:
- Employee Dishonesty
  - Forgery or Alteration
  - On Premises (Money, Securities, and Other Property)
  - In Transit (Money, Securities, and Other Property)
  - Money Orders and Counterfeit Paper Currency
  - Computer Fraud and Funds Transfer Fraud
  - Other:

**LIMITS OF LIABILITY**

\$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_  
 \$ \_\_\_\_\_

Deductible: \$ \_\_\_\_\_

Prior Insurer: \_\_\_\_\_ Limit: \$ \_\_\_\_\_ Deductible: \$ \_\_\_\_\_ Premium: \$ \_\_\_\_\_

**LOSS EXPERIENCE:**

List all crime losses sustained during the last three years whether reimbursed or not. Check here if none

<u>Date of Loss</u>	<u>Total Amount of Loss</u>	<u>Description of Loss and Corrective Action</u>

	<u>US/Canada</u>	<u>Other Countries</u>	<u>Total</u>
Number of Employees *	_____	_____	_____
Locations (other than main office)	_____	_____	_____

\*Includes the following employees: Leased: \_\_\_\_\_, Temporary: \_\_\_\_\_, and Non-compensated Employees: \_\_\_\_\_

Are any employees compensated with commissions, based on sales activity, that on average exceeds 50% of their base salary? .....  Yes  No

Companies that practice segregation of duties and perform background checks on new employees have a better opportunity to either prevent or detect employee dishonesty. Segregation of duties means that no single employee can control a process or transaction from beginning to end.

1. Are officer-shareholders active in the day to day operation of the business? .....  Yes  No
2. Do employees who reconcile the bank statement also :  
 Make deposits? .....  Yes  No    Make withdrawals? .....  Yes  No    Sign checks? ....  Yes  No
3. Is a CPA involved in the applicant's financial reporting? .....  Yes  No
4. For new employees, are background checks which may include prior employment, criminal history or drug testing performed?.....  Yes  No

Please indicate maximum exposure for each location:

<u>Locations</u>	<u>Cash</u>	<u>Retail Checks</u>	<u>Credit Card Receipts and Non-retail Checks**</u>	<u>Is there a Safe? (Y or N)</u>

\*\* A non-retail check is a check presented to you and immediately endorsed "for deposit only" and then recorded in your accounting process so that it could be recreated if it were stolen, lost or destroyed.

